

61-04-09 JCD7 Rec'd PCT/PTO 18 OCT 2001 T

EXPRESS MAIL LABEL NO. EL874429584US  
DATE OF DEPOSIT: October 18, 2001

JC953 U.S. PTO



10/18/01

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

4239-61375

U.S. APPLICATION NO. (If known, see 37 C.F.R. § 1.5)

10/019833

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. § 371INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE  
PCT/US00/09979 13 April 2000 PRIORITY DATE CLAIMED  
21 April 1999TITLE OF INVENTION  
UTEROGLOBIN IN THE TREATMENT OF IGA MEDIATED AUTOIMMUNE DISORDERS

APPLICANT(S) FOR DO/EO/US

Anil B. Mukherjee, Feng Zheng and Zhongjian Zhang

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. § 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. § 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. § 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1)).
4.  A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. § 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  A translation of the International Application into English (35 U.S.C. § 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)) (Unsigned).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).

The PTO did not receive the following  
listed item(s). Specified PCT Spec**Items 11. to 16. below concern document(s) or information included:**

11.  An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§ 3.28 and 3.31 and the Recordal fee of \$40.00 is included.
13.  A **FIRST** preliminary amendment.  
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  A change of power of attorney and/or address letter.
16.  Other items or information:
  - Written Opinion.
  - Preliminary Examination Report.
  - International Search Report.
  - Copies of References Cited (in Information Disclosure Statement).



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EXPRESS MAIL LABEL NO. EL874429584US  
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U.S. APPLICATION NO. (if known, see 37 C.F.R. § 1.492(e)) <b>107019833</b>	INTERNATIONAL APPLICATION NO. <b>PCT/US00/09979</b>	ATTORNEY'S DOCKET NUMBER <b>4239-61375</b>
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS (PTO USE ONLY)
<b>BASIC NATIONAL FEE (37 C.F.R. §§ 1.492(a)(1)-(5)):</b>		
Neither International Preliminary Examination fee (37 C.F.R. § 1.482) nor International Search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,040.00		
International Preliminary Examination fee (37 C.F.R. § 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$890.00		
International Preliminary Examination fee (37 C.F.R. § 1.482) not paid to USPTO but International Search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO as an International Searching Authority.....\$740.00		
International Preliminary Examination fee paid to USPTO (37 C.F.R. § 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710.00		
International Preliminary Examination fee paid to USPTO (37 C.F.R. § 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$100.00		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 890.00</b>		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(e)). \$ 130.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	37 - 20 =	17
Independent Claims	9	6
<b>MULTIPLE DEPENDENT CLAIM(S) (if applicable)</b>		+ \$280.00
<b>TOTAL OF ABOVE CALCULATIONS = \$ 1,830.00</b>		
<input type="checkbox"/> Reduction of 1/2 for filing by small entity. Small entity status is claimed for this application. \$		
<b>SUBTOTAL = \$ 1,830.00</b>		
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 Months from the earliest claimed priority date (37 C.F.R. §§ 1.492(f)). + \$		
<b>TOTAL NATIONAL FEE = \$ 1,830.00</b>		
Fee for recording the enclosed assignment (37 C.F.R. § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property. + \$		
<b>TOTAL FEES ENCLOSED = \$ 1,830.00</b>		
		<b>REFUND → \$</b>
		<b>CHARGE → \$1,830.00</b>
<p>a. <input checked="" type="checkbox"/> A check in the amount of <u>\$ 1,830.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. <u>02-4550</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input checked="" type="checkbox"/> Please return the enclosed postcard to confirm that the items listed above have been received.</p>		
<b>NOTE:</b> Where an appropriate time limit under 37 C.F.R. § 1.494 or § 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.		
<b>SEND ALL CORRESPONDENCE TO:</b> KLARQUIST SPARKMAN, LLP One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, OR 97204-2988		
<i>William D. Noonan</i> SIGNATURE <u>William D. Noonan, M.D.</u> NAME <u>30,878</u> REGISTRATION NUMBER		

cc: Docketing